

Electronic Supplementary Material:

A procedural perspective on academic spin-off creation: The changing relative importance of the academic and the commercial sphere

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1 Non-response analysis and representativeness

Table S1 Non-response analysis

Variable	Approached (%)	Sample (%)	Sample - Approached
Professor (=1)	16.49	18.28	1.79
Female (=1)	37.56	36.73	-0.83
Basic	16.06	15.23	-0.83
Between basic and applied	63.85	63.97	0.12
Applied	20.09	20.80	0.71
Computer Science & Mathematics	10.11	10.53	0.42
Engineering	14.04	16.36	2.32**
Humanities	12.78	9.66	-3.12***
Life Science	13.50	14.97	1.47
Medicine	15.65	9.75	-5.9***
Physics & Chemistry	18.87	19.67	0.8
Social Sciences	15.05	19.06	4.01***
N	7,785	1,149	

Note: Group comparison based on Wilcoxon rank-sum tests as non-parametric alternative to two-sided *t*-test; Significance at * $p < 0.1$; ** $p < 0.05$; *** $p < 0.01$

Table S2 Representativeness

Variable	Germany (Universities) (%)	Sample (Universities) (%)
Professor (=1)	18.63	20.99
Female (=1)	40.20	37.27

Note: The comparison is only between the respondents affiliated to universities and universities of applied science, not to research organizations; Data for the overall population of scientists at universities in Germany is taken from [Statistisches Bundesamt \(2020\)](#)

2 Process schemes

Table S3 Overview of process schemes on academic entrepreneurship

	Research	T1	Opportunity framing	T2	Pre-Spin-off	T3	Spin-off
Roberts and Malonet (1996)	Research & Development				Invention		New venture creation & Product development
Ndonzuau et al. (2002)			Generating business ideas		Finalizing new venture projects		Launch
Vohora et al. (2004)	Research		Opportunity framing		Pre-organization		Start-up
Clarysse and Moray (2004)			Idea		Pre-start-up		Spin-off's proof of viability
Vanaelst et al. (2006)			Opportunity screening		Gestation		Post-creation
Mustar et al. (2008)			Pre-seed		Seed & Pre-start-up		Consolidation
Rasmussen (2011)	Research		Opportunity framing		Proof of viability		
Fernández-Alles et al. (2015)					Creation and initial development		

Note: T: Transition; Source: Own elaboration

3 Variables

3.1 Variable specifications

Table S4 List of approached organizations and their research focus

Number	Organization	Organizational focus
<i>Universities and universities of applied sciences</i>		
1	Bauhaus-Universität Weimar	between basic and applied
2	Duale Hochschule Gera-Eisenach	applied
3	Ernst-Abbe-Hochschule Jena	applied
4	Fachhochschule Erfurt	applied
5	Friedrich-Schiller-Universität Jena	between basic and applied
6	Hochschule für Musik FRANZ LISZT Weimar	applied
7	Hochschule Nordhausen	applied
8	Hochschule Schmalkalden	applied
9	SRH Hochschule für Gesundheit	applied
10	Technische Universität Ilmenau	between basic and applied
11	Universität Erfurt	between basic and applied
<i>Research institutes</i>		
12	Forschungsinstitut für Mikrosensorik	applied
13	Forschungszentrum für Medizintechnik und Biotechnologie	applied
14	Fraunhofer-Institut für Angewandte Optik und Feinmechanik	applied
15	Fraunhofer-Institut für Digitale Medientechnologie	applied
16	Fraunhofer-Institut für Keramische Technologien und Systeme	applied
17	Fraunhofer-Institut für Optronik, Systemtechnik und Bildauswertung Institutsteil Angewandte Systemtechnik	applied
18	Friedrich-Loeffler-Institut für bakterielle Infektionen und Zoonosen	applied
19	Friedrich-Loeffler-Institut für molekulare Pathogenese	applied
20	Gesellschaft für Fertigungstechnik und Entwicklung	applied
21	Günter-Köhler-Institut für Fügetechnik und Werkstoffprüfung	applied
22	Helmholtz-Institut Jena	basic
23	Innovent	applied
24	Institut für Angewandte Bauforschung	applied
25	Institut für Bioprocess- und Analysenmesstechnik Heiligenstadt	applied
26	Institut für Datenwissenschaften	applied
27	Institut für Mikroelektronik- und Mechatronik-Systeme	applied
28	Leibniz-Institut für Alternsforschung - Fritz-Lipmann-Institut e.V.	basic
29	Leibniz-Institut für Naturstoff-Forschung und Infektionsbiologie Hans-Knöll-Institut	basic
30	Leibniz-Institut für Photonische Technologien	basic
31	Materialforschungs- und -prüfanstalt	applied
32	Max-Planck-Institut für Biogeochemie	basic
33	Max-Planck-Institut für chemische Ökologie	basic
34	Max-Planck-Institut für Menschheitsgeschichte	basic
35	Textilforschungsinstitut Thüringen-Vogtland	applied
36	Thüringer Landessternwarte Tautenburg	basic
37	Thüringisches Institut für Textil- u. Kunststoff-Forschung	applied

3.2 Correlation tables

Table S5 Pearson correlation coefficients between the variables of transition 1 (n=1,149)

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
<i>Dependent variable</i>																						
1 Transition 1 (=1)																						
<i>Academic sphere</i>																						
2 Professor (=1)	0.08***																					
3 Time devoted to research	-0.05*	-0.35***																				
4 Number of publications	0.07**	0.32***	-0.06**																			
5 Average impact factor	-0.04	0.07**	0.14***	0.28***																		
6 Basic research	0.15***	0.11***	0.20***	0.15***	0.14***																	
7 Applied research	0.22***	0.05*	-0.07***	-0.04	-0.15***	0.15***																
<i>Commercial sphere</i>																						
8 Share of publications with industry	0.08***	0.00	0.01	0.01	0.06**	-0.03	0.13***															
9 Time devoted to KTT	0.15***	0.02	-0.25***	-0.03	-0.03	0.09***	0.31***	0.07**														
10 Disclosed IP	0.19***	0.13***	-0.05*	0.18***	0.04	0.12***	0.19***	0.09***	0.20***													
11 Work experience outside academia	0.13***	0.20***	-0.25***	-0.05*	-0.22***	-0.01	0.23***	0.05*	0.12***	0.04												
<i>Control variables</i>																						
12 Female (=1)	-0.10***	-0.12***	0.03	-0.12***	-0.07**	-0.03	-0.03	-0.07**	0.00	-0.07**	-0.11***											
13 Risk willingness	0.14***	0.10***	0.02	0.06**	0.00	0.23***	0.13***	0.03	0.04	0.07**	0.19***	-0.04										
14 Organizational focus: between basic and applied	-0.05*	-0.04	-0.03	0.00	-0.05*	-0.03	-0.12***	-0.10***	-0.14***	-0.12***	-0.11***	0.03	-0.04									
15 Organizational focus: basic	-0.05	-0.14***	0.27***	0.12***	0.26***	0.14***	-0.15***	-0.01	-0.01	-0.03	-0.16***	0.01	0.04	-0.56***								
16 Organizational focus: applied	0.11***	0.18***	-0.20***	-0.11***	-0.17***	-0.08***	0.28***	0.13***	0.18***	0.17***	0.26***	-0.04	0.01	-0.68***	-0.22***							
17 Discipline: Computer Science and Mathematics	0.07**	-0.01	0.01	-0.04	-0.02	0.02	0.10***	0.06**	-0.01	0.00	0.00	-0.14***	0.00	0.16***	-0.15***	-0.06*						
18 Discipline: Engineering	0.07**	0.03	-0.09***	-0.11***	-0.15***	-0.03	0.24***	0.17***	0.15***	0.18***	0.14***	-0.08***	0.03	-0.17***	-0.19***	0.37***	-0.15***					
19 Discipline: Humanities	-0.03	0.01	-0.04	-0.10***	-0.13***	0.09***	-0.08**	-0.05*	0.03	-0.05*	0.12***	0.10***	0.04	-0.04	0.05*	0.00	-0.11***	-0.14***				
20 Discipline: Life Sciences	-0.03	-0.07**	0.15***	0.04	0.15***	0.01	-0.13***	-0.01	-0.05*	-0.06**	-0.13***	0.06**	-0.01	-0.19***	0.34***	-0.08***	-0.14***	-0.19***	-0.14***			
21 Discipline: Medicine	-0.03	0.00	-0.04	0.17***	0.12***	-0.06**	0.00	-0.03	-0.05*	-0.04	-0.01	0.11***	-0.01	0.18***	-0.14***	-0.09***	-0.11***	-0.15***	-0.11***	-0.14***		
22 Discipline: Physics and Chemistry	0.03	-0.09***	0.18***	0.16***	0.16***	0.07**	-0.08***	-0.05*	0.03	0.07**	-0.17***	-0.07**	0.00	-0.12***	0.25***	-0.08***	-0.17***	-0.22***	-0.16***	-0.21***	-0.16***	
23 Discipline: Social Sciences	-0.08***	0.13***	-0.17***	-0.12***	-0.13***	-0.09***	-0.06*	-0.07**	-0.09***	-0.12***	0.07**	0.04	-0.04	0.22***	-0.21***	-0.08***	-0.17***	-0.21***	-0.16***	-0.20***	-0.16***	-0.24***

Note: Significance at *p<0.1; **p<0.05; ***p<0.01

Table S6 Pearson correlation coefficients between the variables of transition 2 (n=249)

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
<i>Dependent variable</i>																						
1 Transition 2 (=1)																						
<i>Academic sphere</i>																						
2 Professor (=1)	0.17***																					
3 Time devoted to research	-0.18***	-0.39***																				
4 Number of publications	-0.06	0.30***	-0.03																			
5 Average impact factor	-0.16**	0.15**	0.07	0.28***																		
6 Basic research	0.05	0.09	0.10	0.10	0.13**																	
7 Applied research	0.24***	0.10*	-0.09	-0.10	-0.13**	0.10																
<i>Commercial sphere</i>																						
8 Share of publications with industry	0.07	-0.01	0.02	-0.03	0.14**	-0.08	0.06															
9 Time devoted to KTT	0.27***	0.03	-0.36***	0.00	0.00	0.13**	0.31***	0.01														
10 Disclosed IP	0.21***	0.19***	-0.13**	0.12*	0.07	0.15**	0.20***	0.09	0.28***													
11 Work experience outside academia	0.28***	0.21***	-0.25***	0.04	-0.31***	0.01	0.26***	0.05	0.12*	-0.03												
<i>Control variables</i>																						
12 Female (=1)	-0.03	-0.05	0.00	-0.03	-0.07	0.06	-0.01	-0.10	-0.04	-0.03	-0.04											
13 Risk willingness	0.15**	0.12*	0.04	0.03	-0.04	0.16***	0.11*	0.03	0.02	0.03	0.19***	-0.02										
14 Organizational focus: between basic and applied	-0.11*	-0.10	0.07	0.04	-0.08	0.09	-0.14**	-0.13**	-0.16***	-0.11*	-0.08	-0.04	0.05									
15 Organizational focus: basic	-0.09	-0.15**	0.22***	0.12*	0.25***	0.06	-0.22***	0.08	-0.06	-0.07	-0.18***	0.08	0.01	-0.44***								
16 Organizational focus: applied	0.18***	0.22***	-0.23***	-0.13**	-0.09	-0.13**	0.31***	0.08	0.22***	0.17***	0.22***	-0.01	-0.06	-0.77***	-0.24***							
17 Discipline: Computer Science and Mathematics	0.02	0.04	0.09	-0.06	-0.01	0.05	0.17***	-0.03	-0.02	-0.02	0.01	-0.12*	0.01	0.16**	-0.15**	-0.06						
18 Discipline: Engineering	0.08	-0.09	-0.06	-0.13**	-0.11*	-0.05	0.20***	0.09	0.12*	0.21***	0.07	-0.08	-0.03	-0.11*	-0.19***	0.25***	-0.21***					
19 Discipline: Humanities	-0.02	-0.06	0.01	-0.08	-0.02	0.14**	-0.12**	0.00	0.00	-0.03	0.06	0.18***	0.02	-0.08	0.21***	-0.06	-0.12*	-0.15**				
20 Discipline: Life Sciences	-0.14**	0.04	0.10	0.03	0.14**	-0.03	-0.12*	0.11*	-0.12*	-0.12*	-0.08	0.09	0.05	-0.22***	0.34***	-0.01	-0.16**	-0.20***	-0.11*			
21 Discipline: Medicine	-0.05	0.11*	-0.11*	0.24***	0.07	-0.06	-0.10*	-0.06	-0.11*	-0.02	-0.01	0.05	-0.01	0.13**	-0.11*	-0.06	-0.12*	-0.15**	-0.09	-0.11*		
22 Discipline: Physics and Chemistry	0.00	-0.05	0.07	0.14**	0.15**	0.06	-0.05	-0.07	0.10	0.07	-0.13**	-0.09	-0.01	-0.03	0.10	-0.04	-0.22***	-0.28***	-0.16**	-0.20***	-0.16**	
23 Discipline: Social Sciences	0.07	0.06	-0.12*	-0.11*	-0.22***	-0.09	-0.04	-0.05	-0.04	-0.14**	0.11*	0.05	-0.02	0.18***	-0.14**	-0.09	-0.16**	-0.20***	-0.12*	-0.15**	-0.12*	-0.21***

Note: Significance at *p<0.1; **p<0.05; ***p<0.01

Table S7 Pearson correlation coefficients between the variables of transition 3 (n=145)

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
<i>Dependent variable</i>																						
1 Transition 3 (=1)																						
<i>Academic sphere</i>																						
2 Professor (=1)	0.05																					
3 Time devoted to research	-0.03	-0.37***																				
4 Number of publications	-0.14*	0.26***	-0.06																			
5 Average impact factor	-0.02	0.17**	0.02	0.30***																		
6 Basic research	0.04	0.20**	0.08	0.14*	0.16*																	
7 Applied research	0.03	0.08	-0.06	-0.08	-0.07	0.19**																
<i>Commercial sphere</i>																						
8 Share of publications with industry	-0.08	0.00	-0.03	-0.03	0.18**	-0.14*	0.07															
9 Time devoted to KTT	0.12	-0.04	-0.37***	0.01	0.03	0.18**	0.26***	-0.02														
10 Disclosed IP	-0.05	0.19**	-0.12	0.17**	0.17**	0.19**	0.13	0.08	0.24***													
11 Work experience outside academia	0.02	0.17**	-0.22***	0.02	-0.30***	0.00	0.23***	0.11	0.06	-0.10												
<i>Control variables</i>																						
12 Female (=1)	-0.12	-0.12	0.08	-0.01	-0.22***	0.09	-0.02	-0.16*	-0.05	-0.01	-0.06											
13 Risk willingness	0.18**	0.14*	0.21**	0.03	0.11	0.14*	0.13	0.03	-0.01	0.00	0.08	-0.01										
14 Organizational focus: between basic and applied	0.09	-0.15*	0.11	0.04	0.03	0.20**	-0.12	-0.13	-0.20**	-0.13	-0.11	-0.09	0.02									
15 Organizational focus: basic	0.09	-0.16**	0.19**	0.11	0.04	-0.06	-0.13	0.03	-0.01	-0.01	-0.13	0.12	0.19**	-0.36***								
16 Organizational focus: applied	-0.14*	0.26***	-0.23***	-0.11	-0.06	-0.17**	0.20**	0.12	0.21**	0.14*	0.20**	0.01	-0.14*	-0.82***	-0.24***							
17 Discipline: Computer Science and Mathematics	0.05	0.01	0.13	-0.08	-0.07	0.08	0.15*	-0.02	-0.08	-0.04	-0.04	-0.08	0.00	0.15*	-0.14*	-0.08						
18 Discipline: Engineering	-0.14*	-0.09	-0.01	-0.11	0.00	-0.07	0.19**	0.10	0.13	0.17**	0.05	-0.12	-0.11	-0.16**	-0.18**	0.28***	-0.24***					
19 Discipline: Humanities	0.06	-0.08	0.03	-0.07	-0.13	0.02	-0.03	-0.01	0.00	0.01	0.04	0.30***	0.07	-0.05	0.17**	-0.05	-0.12	-0.16*				
20 Discipline: Life Sciences	0.06	0.06	0.11	-0.07	0.00	-0.07	-0.12	0.16*	-0.07	-0.10	-0.02	-0.02	0.12	-0.05	0.31***	-0.13	-0.13	-0.18**	-0.09			
21 Discipline: Medicine	-0.08	0.18**	-0.08	0.30***	0.13	-0.03	-0.15*	-0.04	-0.18**	-0.03	-0.11	0.02	0.03	0.08	-0.09	-0.03	-0.12	-0.15*	-0.08	-0.09		
22 Discipline: Physics and Chemistry	-0.07	-0.10	0.04	0.15*	0.19**	0.14*	-0.06	-0.13	0.17**	0.08	-0.09	-0.05	0.01	-0.08	0.16**	-0.02	-0.23***	-0.30***	-0.15*	-0.17**	-0.14*	
23 Discipline: Social Sciences	0.17**	0.10	-0.21**	-0.07	-0.15*	-0.08	-0.08	-0.03	-0.08	-0.16**	0.14	0.05	-0.05	0.15*	-0.14*	-0.08	-0.18**	-0.24***	-0.12	-0.13	-0.12	-0.23***

Note: Significance at *p<0.1; **p<0.05; ***p<0.01

4 Additional estimations

Table S8 Logit regression results and dominance analysis for Transition 2 and Transition 3 without number of publications

	(2a) Transition 2 <i>Opportunity framing to Pre-spin-off</i>	(3a) Transition 3 <i>Pre-spin-off to Spin-off</i>
<i>Academic sphere</i>		
Professor (=1)	0.860* (0.456)	0.202 (0.543)
Time devoted to research	0.002 (0.008)	0.002 (0.011)
Average impact factor	-0.528** (0.228)	-0.065 (0.346)
Basic research	0.059 (0.221)	-0.043 (0.300)
Applied research	0.100 (0.223)	0.061 (0.298)
Joint R_A^2	0.051 (27.6%)	0.003 (2.4%)
<i>Commercial sphere</i>		
Share of publications with industry	1.223 (1.147)	-1.788 (1.548)
Time devoted to KTT	0.051** (0.020)	0.027* (0.015)
Disclosed IP	0.610** (0.283)	0.040 (0.298)
Work experience outside academia	0.226* (0.118)	-0.036 (0.142)
Joint R_C^2	0.095 (51.5%)	0.021 (17.7%)
<i>Control variables</i>		
Female (=1)	0.068 (0.342)	-0.955** (0.485)
Risk willingness	0.112 (0.077)	0.158 (0.103)
Organizational focus: basic	0.680 (0.478)	0.462 (0.726)
Organizational focus: applied	0.394 (0.403)	-0.453 (0.478)
Discipline: Engineering	-0.067 (0.531)	-0.731 (0.621)
Discipline: Humanities	-0.452 (0.675)	0.482 (0.846)
Discipline: Life Sciences	-0.830 (0.625)	-0.003 (0.806)
Discipline: Medicine	-0.206 (0.661)	-0.642 (0.895)
Discipline: Physics and Chemistry	-0.115 (0.523)	-0.781 (0.620)
Discipline: Social Sciences	0.284 (0.596)	0.856 (0.738)
Joint R_Z^2	0.038 (20.9%)	0.096 (79.9%)
Constant	-1.977* (1.033)	-1.290 (1.484)
<i>N</i>	249	145
Log Likelihood	-138.055	-87.579
Akaike Inf. Crit.	316.110	215.159
McFadden R^2	0.184	0.12

Note: A: Academic sphere, C: Commercial sphere, Z: Controls;
Significance at * $p < 0.1$; ** $p < 0.05$; *** $p < 0.01$; Robust standard errors in parentheses

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